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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: O79804

Hiroshi UEDA, et al.

Appln. No.: 10/774,498

Group Art Unit: 1626

Confirmation No.: 8709

Examiner: Kamal A. SAEED

Filed: February 10, 2004

For: PROCESS FOR PREPARING A BIARYL COMPOUND

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes

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INFORMATION DISCLOSURE STATEMENT

U.S. Application. No.: 10/774,498

Attorney Docket No.: O79804

prosecution in the application (whichever is earlier), and therefore Applicant is filing

concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p)

is required.

The present Information Disclosure Statement is being filed thirty days or fewer from the

communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is

attached.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of at least that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

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INFORMATION DISCLOSURE STATEMENT

U.S. Application. No.: 10/774,498 Attorney Docket No.: Q79804

overpayments to said Deposit Account.

Respectfully submitted,

Registration No. 58,490

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washington office 23373 customer number

Date: January 31, 2007

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STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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CUSTOMER NUMBER

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PATENT APPLICATION

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For:

PROCESS FOR PREPARING A BIARYL COMPOUND

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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Complete if Known

Substitute for Form 1449 A & B/PTO

Sheet

			Application Number	10///4,496	
FORMATION DISCLOSURE			Confirmation Number	8709	JAN 3 1 2007
			Filing Date February 10, 200		
ATEMENT BY APPLICANT (use as many sheets as necessary)			First Named Inventor	Hiroshi UEDA	137
			Art Unit	1626	MARIA
			Examiner Name	Kamal A. SAEED)
1	of	1	Attorney Docket Number	Q79804	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document 1		Publication Date MM-DD-YYYY		
		Number	Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	
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FOREIGN PATENT DOCUMENTS							
	Cite	Fo	Foreign Patent Document			Name of Patentee or	77
	No.1	Country Code ³	Number ⁴	Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
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NON PATENT LITERATURE DOCUMENTS					
Examiner Cite Initials* No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.				
		P. KARRER et al.; "Überführung optisch aktiver α-Aminocarbonsäuren in optisch active Amine mit gleichem Kohlenstoffskelett"; Helvetica Chimica Acta, Vol. 34, 1951, pages 2202-2210; XP002412039	No		
		Journal of Organic Chemistry (1995), 60(4), pp. 1060-1065.			

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.